JC07 Rec'd PCT/PTO 29 AUG 2005

Docket No.: 0690-0123PUS1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Peter OAKLEY et al.

Application No.: 10/534,637

Confirmation No.: 1067

Filed: May 12, 2005

Art Unit: N/A

For: METHOD FOR YIELD IMPROVEMENT IN

Examiner: Not Yet Assigned

GLYPHOSATE-RESISTENT LEGUMES

LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Subsequent to the filing of the above-identified application on May 12, 2005, attached hereto is an English translation of the International Preliminary Examination Report (Form PCT/IPEA/409) that should be made of record in the present application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or to credit any overpayment to Deposit Account No. 02-2448 for any

Application No.: 10/534,637

Docket No.: 0690-0123PUS1

additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Dated: August 29, 2005

Respectfully submitted,

Andrew D. Meikle

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Attachment(s)

2 ADM/lc







PCT

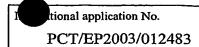
INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference | <u>'</u> | | | | | | |
|---|--|---|----------------------------------|--|--|--|--|
| M/46082-PCT | FOR FURTHER ACT | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) | | | | | |
| | | date (day/month/year) Priority date (day/month/year) | | | | | |
| PCT/EP2003/012483 08 November 2 | | • | 12 November 2002 (12.11.2002) | | | | |
| International Patent Classification (IPC) or national classification and IPC A01N 57/20 | | | | | | | |
| Applicant BASF AKTIENGESELLSCHAFT | | | | | | | |
| | | | | | | | |
| This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36. | | | | | | | |
| 2. This REPORT consists of a total of 4 sheets, including this cover sheet. | | | | | | | |
| This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). | | | | | | | |
| These annexes consist of a total of sheets. | | | | | | | |
| 3. This report contains indications relati | ng to the following items: | | | | | | |
| I 🔀 Basis of the report | | | | | | | |
| II Priority | | | | | | | |
| III Non-establishment | of opinion with regard to | ovelty, inventive s | tep and industrial applicability | | | | |
| IV Lack of unity of inv | ention | | | | | | |
| V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; | | | | | | | |
| VI Certain documents cited | | | | | | | |
| VII Certain defects in th | VII Certain defects in the international application | | | | | | |
| VIII Certain observations on the international application | | | | | | | |
| | | | | | | | |
| Date of submission of the demand | | Date of completion of this report | | | | | |
| 10 May 2004 (10.05.2004) | | 04 November 2004 (04.11.2004) | | | | | |
| Name and mailing address of the IPEA/EP | Au | Authorized officer | | | | | |
| Facsimile No. | Te | ephone No. | | | | | |

Form PCT/IPEA/409 (cover sheet) (January 1994)





| I. Basis of the report | | | | | | | |
|--|--------------------|--|--|--|--|--|--|
| 1. This report has been drawn on the basis of (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.): | | | | | | | |
| \boxtimes | the international | application as originally fil | ed. | | | | |
| \boxtimes | the description, | pages1-15 | , as originally filed, | | | | |
| | | pages | , filed with the demand, | | | | |
| | | pages | , filed with the letter of, | | | | |
| • | | pages | , filed with the letter of | | | | |
| \boxtimes | the claims, | Nos. 1-6 | , as originally filed, | | | | |
| | | Nos. | , as amended under Article 19, | | | | |
| | | Nos. | , filed with the demand, | | | | |
| | | Nos. | , filed with the letter of, | | | | |
| | | Nos. | , filed with the letter of | | | | |
| | the drawings, | sheets/fig | , as originally filed, | | | | |
| | | sheets/fig | , filed with the demand, | | | | |
| | | sheets/fig | , filed with the letter of, | | | | |
| | | sheets/fig | , filed with the letter of | | | | |
| 2. The amend | ments have result | ed in the cancellation of: | | | | | |
| | the description, | pages | <u></u> | | | | |
| | the claims, | Nos | <u> </u> | | | | |
| | the drawings, | sheets/fig | | | | | |
| | | | | | | | |
| 3. This to go | report has been e | stablished as if (some of) the osure as filed, as indicated as | te amendments had not been made, since they have been considered in the Supplemental Box (Rule 70.2(c)). | | | | |
| to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)). | | | | | | | |
| 4. Additional | observations, if n | ecessary: | | | | | |
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NO

| v. | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | | |
|----|---|--------|------------|-------|--|--|--|
| 1. | Statement | | | | | | |
| | Novelty (N) | Claims | 3, 6 | YES | | | |
| | | Claims | 1, 2, 4, 5 | NO | | | |
| | Inventive step (IS) | Claims | | YES | | | |
| | · | Claims | 1-6 | NO NO | | | |
| | Industrial applicability (IA) | Claims | 1-6 | YES | | | |

2. Citations and explanations

This report makes reference to the following document cited in the international search report:

Claims

D1: RAMSDALE B K ET AL: 'Glyphosate tank-mixed with insecticides or fungicides", RES. REP. NORTH CENT. WEED SCI. SOC. (59, 280-83, 2002), XP001180180, University North Dakota State

Document D1 discloses mixtures that contain a glyphosate derivative and pyraclostrobin (Headline) or azoxystrobin (Quadris). According to D1, the combination of glyphosate derivative and pyraclostrobin has a synergistic effect on wheat, barley, oats and millet. Consequently, D1 appears to be prejudicial to the novelty of claims 1, 2, 4 and 5 (PCT Article 33(2)). The subject matter of claims 3 and 6 appears to be novel over D1.

The present application should be considered to address the problem of finding mixtures that can be used to increase the yield of leguminous plants having modified resistance against glyphosate and its esters and salts.

The solution proposed in the application consists in the use of glyphosate and strobilurins, in particular

pyraclostrobin.

However, the synergistic properties of compositions that contain glyphosate derivatives and pyraclostrobin are already known from D1.

Moreover, D1 discloses synergistic mixtures that contain a glyphosate derivative and propiconazol. Consequently, D1 suggests the subject matter of claims 1-6 (PCT Article 33(3)).

According to D1, the mixture of glyphosate derivative and azoxystrobin has an antagonistic rather than a synergistic effect. Consequently, in view of D1, not all strobilurin derivatives appear to be suitable for solving the problem in question (PCT Article 33(3)).

Formal objections

- In view of formula II on page 7 of the description, the term "a glyphosate derivative II" in claims 1, 4 and 5 appears to be misleading.
- At present, the application does not meet the requirements of PCT Rule 5.1(a) because the description does not cite D1.